

APPENDIX B: Please complete this appendix, along with Appendix C (Medical Surveillance Questionnaire).

To maintain confidentiality, bring this form in a sealed envelope or mail to the Office of Safety, Stop 9031. The Office of Safety will mail or give all forms to – Altru Occupational Health (Employer Health Solutions) for proper review.

University of North Dakota Office of Safety
Occupational Health Risk Assessment Questionnaire
(Initial Assessment Form)

Purpose: This appendix is provided to Principal Investigators (PI) or Supervisors for the purpose of identifying specific work exposures and potential health hazards in the work environment. This appendix is used in conjunction with the Medical Surveillance Questionnaire (Appendix C) for participants with Research Animal Contact to determine what health and safety services or recommendations are appropriate for the individual to work safely with research animals.

Instructions: The PI or Supervisor must complete Appendix B for each individual under their supervision with research animal contact. Both the PI and employee/student must sign the completed Appendix B. The completed Appendix B should be given to the participant to bring with the completed Appendix C to the Office of Safety. The Office of Safety will mail/give both forms together to Altru Occupational Health (Employer Health Solutions).

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SECTION A: EMPLOYEE OR STUDENT (PARTICIPANT) INFORMATION

Participant Name: _____ Job Title: _____

Email Address: _____ UND ID#: _____

Work Telephone: _____ Date of Orientation to Animal Research: _____

Home Institution: UND Other, specify: _____

NOTE for non-UND participants: Submit the completed Appendix B and attach your home institution medical clearance for research animal contact. Send to Altru Occupational Health (Employer Health Solutions). If a medical clearance appendix is attached, you do not need to complete Appendix C. If medical clearance documentation is not attached, you must complete the Appendix C (medical history).

Participant Status (check all that apply):

UND Faculty

Visiting Scientist

UND Staff

Affiliate

UND Registered Volunteer

Non-Paid Undergraduate Student

UND-Paid Undergraduate Student

Other (specify if UND-paid assignment or not): _____

UND-Paid Graduate Student

SECTION B: PRINCIPAL INVESTIGATOR/SUPERVISOR INFORMATION.

PI/Supervisor Name: _____ Job Title: _____

Email Address: _____ Telephone: _____ Dept: _____

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SECTION C: MUST BE COMPLETED BY PI/SUPERVISOR OF EMPLOYEE OR STUDENT

Yes No

Is animal husbandry an essential part of the participants duties?

Will the participant’s animal work involve potential contact with any of the following?:

- Human blood, tissues or cells administered to or present in animals?
Please list (specific type): _____
- Infectious agents in animals?
(Including but not limited to virus, bacteria, fungi, protozoa or parasites)
Please list (specific type): _____
- Other biological material in animals?
Please list: _____
- Non-fixed lung or lymph node tissue from non-human primates?
- Pregnant mammals (rodents excluded)?
- Wildlife?
- Will you be involved in any field work?
Briefly describe to include location: _____

- Is there a known zoonotic disease(s) associated with these animal(s)?
(i.e. Hanta Virus, Rabies, Avian Influenza, etc.) Please list: _____
- Venomous animals?
- Radiation/Radioisotopes?
Please list: _____
- Toxins?
Please list: _____
- Chemicals, including anesthetic gasses, in animals? (i.e. Carcinogens, Mutagens, Toxins, etc.) Please list: _____

Species Contact: Identify all levels of exposure for each species or tissue for the participant named above and check the appropriate column[s]. Check “0” if no direct or indirect contact. **Check Non-Human primate (NHP), if handling NHP tissue or if participant works in an area where NHP or NHP tissues are housed or handled.**

Level 0 - No animal contact.

Level 1 - No direct contact, but enters area where research animals are used.

Level 2 - Does not conduct procedures on live animals but handles “unfixed” animal tissues and fluids.

Level 3 - Handles, restrains, collection of specimens or administers substances to live animals.

Level 4 - Performs invasive procedures such as surgery, necropsy.

LEVEL OF EXPOSURE						LEVEL OF EXPOSURE					
SPECIES	0	1	2	3	4	SPECIES	0	1	2	3	4
Amphibian						Cat					
Birds						Dog					

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SPECIES	LEVEL OF EXPOSURE					SPECIES	LEVEL OF EXPOSURE				
	0	1	2	3	4		0	1	2	3	4
Ferret						Poultry					
Fish						Rabbit					
Goat						Rat					
Guinea Pig						Reptile					
Hamster						Sheep					
Mice						Wild Rodents					
Non-Human Primate						Wildlife					

List animal(s) species approved in the protocol(s):

**If “0” selected for all applicable animals, Appendix C does not need to be submitted.
If future work duties result in the level of exposure moving to a “1” or higher, Appendix C is required.**

Physical Hazards (Check all that apply)

- Excessive noise over 85 decibels
(e.g. communication within two (2) feet requires shouting)
- Lifting 50 lbs. or more
- Extreme temperature/humidity
- Outdoor field collections
- Slip, trip, fall hazards (i.e. water, mud, etc.)

- Low/reduced light
- Ultrasound equipment
- Grinding/chipping operation
- High pressure temperature/pressure equipment
- N/A – Not applicable

SECTION D: SUPERVISOR CERTIFICATION

By signature, I certify that the information provided is accurate to the best of my knowledge.

PI/Supervisor Signature: _____ Date: _____

By signature, I acknowledge and agree with all of the above.

Employee/Student Signature: _____ Date: _____